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PTO/SB/21 (08-00)

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

14

Application Number

09/216,062

Filing Date

December 18, 1998

First Named Inventor

Yajun GUO

Group Art Unit

1644

Examiner Name

Ronald B. Schwadron

Attorney Docket No.

532732000201

ENCLOSURES (check all that apply)



Fee Transmittal Form

Assignment Papers
(for an Application)After Allowance Communication to
Group

Fee Attached



Drawing(s)

Appeal Communication to Board of
Appeals and Interferences

Amendment / Reply



Licensing-related Papers

Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

After Final



Petition



Proprietary Information



Affidavits/declarations

Petition to Convert to a
Provisional Application

Status Letter



Extension of Time Request

Power of Attorney, Revocation
Change of Correspondence AddressOther Enclosure(s) (please identify
below):
Return postcard

Express Abandonment Request



Terminal Disclaimer

Information Disclosure Statement;
PTO form 1449; Intl Search Report;
References (49)

Request for Refund



Certified Copy of Priority Document(s)



CD, Number of CD(s) _____

Response to Missing Parts/
Incomplete ApplicationResponse to Missing Parts
under 37 CFR 1.52 or 1.53

Remarks



25225

PATENT, TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

or

Individual Name

Peng Chen

Registration No. 43,543

Signature

Date

July 26, 2002

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 7-26, 2002.
Rhea Amid

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL
FOR FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/216,062
Filing Date	December 18, 1998
First Named Inventor	Yajun GUO
Examiner Name	Ronald B. Schwadron
Group Art Unit	1644
Attorney Docket No.	532732000201

TOTAL AMOUNT OF PAYMENT

(\$55.00)

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	03-1952, ref. 532732000201
Deposit Account Name	Morrison & Foerster LLP

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
-
- ☒
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)-0-

2. EXTRA CLAIM FEES

1.1 Independent Claims

Extra Claims	Fee from below	Fee Paid
0-		-0-

Total Claims = -0- x 9 = \$-0-

Multiple Dependent

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) -0-

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
22	30	22	30	1.14 Petitions of the Commissioner	
144	620	244	310	Plant issue fee	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 55

SUBMITTED BY

Name (Print/Type)

Peng Chen

Registration No.
(Attorney/Agent)

43,543

Complete (if applicable)

Telephone

(858) 720-5117

Signature

Date

Aug 26, 2002